

**Research  
Regulations 2015  
for Ph.D.**

# RESEARCH REGULATIONS 2015 AND OPERATING GUIDELINES FOR Ph.D.

Regulations	Operating Guidelines
<p><b>1. Eligibility</b></p> <p>1.1 Master's Degree of any recognized University or any other qualification recognized as equivalent thereto in the fields of study notified from time to time by this University.</p> <p>1.2 Minimum eligibility is 55% of marks or equivalent CGPA. However 5% relaxation is given to the candidates belonging to SC/ST.</p>	<p>1.1 MBA: Masters in Business administration/Commerce/Economics or its equivalent as approved by UGC</p> <p>1.2 Degrees in distance mode are not considered.</p>
<p><b>2. Categories of Ph.D candidates and place of research</b></p> <p>2.1 There shall be three categories of Ph.D. candidates as listed under:</p> <ul style="list-style-type: none"> <li>a) Full -time,</li> <li>b) Part-time (Internal),</li> <li>c) Part-time (External)</li> </ul> <p>i) Full-time Candidates : All approved candidates who pursue full-time study and research in this University shall belong to this category.</p> <p>ii) Part-time Candidates (Internal): All approved candidates who are employed in this University and actively involved in the relevant area of teaching, research or development as part of their regular work shall belong to this category.</p> <p>iii) Part-time Candidates (External): Candidates working in Industry involved in R&amp;D activities or working in any Academic Institutions accredited by NAAC / NBA / ABET or Research Organizations recognized by this University, and who are permitted for pursuing Ph.D. Programmes in this University while continuing in their job, shall belong to this category. While they shall pursue research in their place of employment, such candidates shall report to their respective supervisor as and when required.</p> <p><b>2.2 Research outside the University :</b> During the course of the Ph.D. Programme, for reasons approved by the Doctoral Committee, a candidate may be permitted by the University to spend up to one year in an Institution or on a project approved for the purpose, outside the University for carrying out research in areas related to the subject of investigation. The</p>	<p>2.1(iii). &amp; 2.2 Supervisor should ensure that the experimental work done outside Karunya University is in a standard laboratory.</p> <p>When an establishment desires to be recognised as research centre should apply to Karunya University and an expert committee to be constituted by BRC will inspect such organization and submit a report based on which the grant of recognition will be considered. If approved, an affiliation fee is to be paid by the establishment.</p>

conditions for this will be laid down by BRC from time to time.

### 3. Duration of the programme:

3.1 The duration of the programme and minimum time for submission of thesis after the date of registrations will be as per the table given below:

		Full time	Part Time
A	PG in Engineering / Agricultural / Veterinary / Medicines etc.	2 years	3 years
B	M.S. (Research) / M. Phil. In Arts / Science / Humanities / Management		
C	PG In Arts / Science / Humanities / Management / Education	3 years	4 years

3.2 In all the categories the maximum period shall be six years. In exceptional cases maximum period shall be extended by half an year at a time, maximum of four times based on the recommendation of the Doctoral Committee. This shall be approved by Board of Research & Consultancy.

3.3 A Candidate can avail a break in study on medical / any valid reasons during their course of study. This period will not be counted as part of the minimum / maximum period.

3.2 The candidate shall apply for extension to the Doctoral Committee justifying the request. On approval from the BRC the candidate is required to pay an extension fee apart from the regular fees.

### 4. Admission Procedure

4.1 Candidates aspiring to register for the Ph.D. Degree shall submit the application in the prescribed form through proper channel, wherever applicable in response to the advertisement by the University.

4.2 All eligible applicants will be called for a written entrance test and interview. The admissions will be based on a merit list in addition to the consistent academic record and performance in the entrance test and interview.

4.3 The candidate will be issued a provisional admission letter by the Admission Office of the University

4.4 Candidate shall be admitted for the programme only at the beginning of the semester. The candidate will continue to pay the prescribed fees every semester, in order to keep the Ph.D. Registration alive until the thesis is submitted. If a candidate fails to do so within the permissible dates of payment of fees, the registration will be withdrawn and the candidate's name will be removed from the rolls after referring to the Supervisor.

4.5 Provisional admission will be given only after paying of fees and submission of all original certificates as required by the University.

4.6 Within a week of the admission, the School research committee along with all approved research supervisors of the school will allocate Supervisors for each candidate and the same will be approved by the Director and copy will be sent to the Registrar and CoE for subsequent ratification by BRC

4.1 Registrar / Dean (Research) will send the proforma to the Directors to communicate the Vacancy (FT / PT) positions in the Schools to him, 90 days prior to the date of opening of both Semesters (Proforma-I).

The Directors shall inform the Registrar / Dean (Research) the vacancy (FT / PT) positions in the various fields of specialization in their respective Schools / Departments (Filled in Proforma-I).

The Registrar will release the Advertisement / Notification with regard to admission to M.Phil / Ph.D Programmes (As per the standard notification).

On receipt of the application forms, the Admission Officer shall forward the same to the respective Schools, informing the Date of Entrance Examination and interview in consultation with CoE.

4.2 The Research Committee of the School headed by the Director shall verify the following while scrutinizing an application: The other members of this committee shall be the HoDs and two senior faculty members.

4.7 The registration confirmation letter will be issued after the candidate successfully defends comprehensive viva. The date of registration will be the date on which the zeroth doctoral committee was held.

- a) qualifications obtained by the applicant
- b) eligibility criteria – minimum qualifying marks.
- c) recognition of the University which awarded the degree
- d) For part-time (External) candidates employment details and necessary certification by the employer in the application

Process of an application:

- The application shall be sent directly to the Admission Officer by the applicant.
- Admission Officer shall forward the eligible applications to the concerned School / Department for scrutiny and further action.
- The Director shall send interview / test call letters to eligible candidates as per the prescribed format (Proforma – II).
- The CoE shall conduct a written entrance test for all aspirants in General & research aptitude and Analytical skills.
- The students will also attend an interview in the concerned department.
- The research committee will tabulate in the given format, the marks of the candidates as per the weightage given below:  
UG – 5; PG – 20; Experience – 5 [half a mark for each year of service]; Publications – 5; [2- for International refereed Journals, 1 – National refereed journal / Conference conducted by Professional Associations]; Entrance test – 40 [Part A - 15 marks for common test and Part B - 25 marks for departmental test to be conducted together]; Interview by the Department – 25. (Proforma III)
- School / Department wise selection list will be prepared and communicated to Dean (Research) / Registrar who will display at the earliest and also make arrangements to upload in the Karunya Website.
- This table and rank list as per the specialization and as per the vacancy list along with the answer scripts should be sent to the Admission Officer by the Director.
- Admission Officer will admit the students as per the vacant seats and as per the specialization notified in the advertisement, marking a copy of the offer letter to the Director of Schools and CoE. The Faculty (Engg / Science / Management etc..) under which the

	candidate has registered for his/her Ph.D degree will be specified in the offer letter.
<p><b>5. Supervisors and Number of Candidates</b></p> <p>5.1 An updated and approved panel of recognised Supervisors for the Ph.D. programme of the University shall be maintained by the Dean (Research) / CoE. Faculty members of this University who can guide in interdisciplinary areas shall register themselves in the relevant Schools. The Research Committee of the School / Dept. shall examine and recommend the application form to BRC. After BRC approves, the faculty member can be included in the panel of recognized Supervisors.</p> <p>5.2 All categories of Ph.D. registrants shall be required to work under a recognized Supervisor of this University. The students can also have a Joint-Supervisor, depending on the nature of work.</p> <p>5.3 In the case of Part-Time candidates (External) there shall be a Joint-Supervisor / Coordinator from the organization where the candidate is planning to do research, if the research involves experiments and or data collection as specified by the Doctoral Committee.</p> <p>5.4 In exceptional cases a candidate shall be allowed to register under a recognized Supervisor of this University, who is working in an approved institution/R&amp;D lab/Industry by this University. However in such cases, appointing a Co-ordinator from this University is mandatory.</p> <p>5.5 When the Supervisor of a candidate happens to be away from the University on other assignments for more than six months, he/she may continue to guide the candidate, but a Joint Supervisor / Coordinator belonging to the University faculty shall be officially nominated by the School Research Committee in keeping with abilities and background, as per 5.8 of this regulation and research adequacies and willingness of the Joint Supervisor / Coordinator in consultation with the Supervisor.</p> <p>5.6 If the Supervisor is terminated from service his recognition as supervisor stands cancelled.</p> <p><b>5.7 Provision for changes</b></p> <p>(i) Change of Research Supervisor : If a research scholar wants to change his / her supervisor for valid reasons, he / she shall write to Director.</p> <p>The BRC may approve the request of the research scholar to change his/her Research Supervisor on valid reasons. In such cases, a new Doctoral Committee can be constituted, if required.</p> <p>(ii) Change in the category: A full time research scholar in the event of getting any employment may change the category from Full - time to Part – time and vice-versa. In such cases the duration of the programme shall be pro-rated by the Director. Changing the category from full-time to part-time is possible only after six months from the date of registration.</p>	<p>5.2 The Joint Supervisor shall contribute technically to the research works.</p> <p>5.3 The Supervisor should ensure that the coordinator is approved before the commencement of experiments and or data collection. The Coordinator will be the faculty who will coordinate the research activities of the candidate when Supervisor is external and when the supervisor has gone on leave approved by the University.</p> <p>5.6 Director in consultation with the School Research Committee, shall appoint a New Supervisor after getting no objection certificate from the former supervisor. If necessary, a new Doctoral Committee can be constituted. This shall be subsequently ratified by the BRC.</p> <p>5.7 For changing the Supervisor, the research scholar will write to Director.</p> <p>Director will ask the School Research Committee to scrutinize the request and to send its recommendations.</p> <p>If the committee approves the request, suggests a new Supervisor having high credentials as per section 5.8, Director shall appoint the new Supervisor. This shall subsequently be ratified by the BRC. In such cases, the Doctoral Committee can also be reconstituted by the supervisor and approved by the director.</p> <p>iii) Change from Part Time (Internal ) to Part Time (External): When a candidate is leaving the university, the category will</p>
<i>Research Regulations 2015 for Ph.D.</i>	Page 4

<p><b>5.8 Recognition:</b></p> <p>Recognition of Supervisor for guiding research work will be accorded by the Chairman-Academic Council on making an application in the prescribed format and on the recommendations of the BRC, provided the applicant possess the following :</p> <p>(i) A Ph.D. Degree in the relevant or allied areas of research in which he/she proposes to guide the candidate.</p> <p>(ii) A minimum of two publications in the relevant or allied areas of research in a refereed Journal (Scopus indexed/Thomson Reuters) or a patent.</p> <p><b>5.9 Number of Candidates</b></p> <p>A Supervisor shall not guide more than six doctoral candidates at any time. In addition to this, a Supervisor can act as Joint Supervisor for not more than two candidates.</p>	<p>be changed to Part Time (External). The candidate should submit the NOC from the organisation where the candidate has joined as per 2.1 (iv)</p> <p>5.8 In order to have Supervisors with good calibre, experts with high credentials will be selected.</p> <p>A supervisor who has just obtained Ph.D. can supervise only one candidate. As the supervisor gains experience he/she can supervise a number of candidate proportionate to the number of years of experience with a maximum of 6 Ph.D. candidates.</p>
<p><b>6. Doctoral Committee</b></p> <p>6.1 There shall be a Doctoral Committee for every scholar to monitor the progress of research work.</p> <p>6.2. For every scholar, the Supervisor shall furnish a panel of six experts with doctoral qualification in the field of proposed research, from the faculty members of the University / Colleges affiliated to the University / other Universities / experts from R&amp;D Departments / National Laboratories / any other laboratories, from which one will be selected by the Director of the School.</p> <p>6.3 The Supervisor of the scholar shall be the convener of the Doctoral Committee.</p> <p>6.4 The Joint Supervisor, if applicable, shall also be a member.</p> <p>6.5 The Director / Head of the Department of the Supervisor shall be an ex-officio member.</p> <p>6.6 The Supervisor / Coordinator from the University shall be the Convener of the Doctoral Committee. In the event of the Supervisor of the University leaving the University temporarily or permanently the Joint Supervisor / Coordinator shall be the Convener.</p> <p>6.7 All communications shall be routed through the concerned HoD and Director of the School.</p>	<p>Director will ensure that the experts selected meet the following criteria:</p> <p>Criteria for the selection of an Expert:</p> <ul style="list-style-type: none"> <li>• Should be a specialist in the topic / area of research proposed by the Research Scholar.</li> <li>• Should have minimum 3 years experience after obtaining Ph. D.</li> </ul> <p>OR</p> <ul style="list-style-type: none"> <li>• Should have adequate proven experience in his/her field of research and publications in his/her field of research in reputed journals / conferences.</li> <li>• As far as possible, experts shall be from reputed institutions / Research organisations</li> </ul> <p>An office order will be sent by the Deputy Registrar (Student Affairs) constituting the Doctoral Committee.</p> <p>The Doctoral Committee should not be conducted unless more than 2/3 quorum is available.</p> <p>Schools are expected to have a large panel of external experts, who are specialists in various research areas of the School along with their</p>

	<p>CV. The faculty of the school should periodically add experts to this list.</p> <p>The supervisors should not be searching for experts whenever a student is admitted but select the external members from this panel.</p>
<p><b>7. Prescription of Course work and Comprehensive Viva</b></p> <p>7.1 Course work</p> <p>i) The Doctoral Committee of the candidate shall meet within thirty days of admission and prescribe course work for the candidate.</p> <p>ii) Subjects at P.G level being offered under any approved programme of this University and those which the student has not studied during his/her post graduate course shall be recommended as per their qualification [refer 3.1] by the Doctoral Committee from approved courses as under:</p> <p>3.1 A — 3 Subjects and Literature Review 3.1 B – 3 Subjects and Literature Review exemption can be given only to the Research Methodology done in M.Phil. / M.S./ in lieu of which the candidate will have to study another course prescribed by the DC 3.1 C —3 Subjects and Literature Review</p> <p>iii) Full Time / Ph.D. scholars required to attend class work for a minimum of 2 Subjects (Research Methodology &amp; one Department Subject) and fulfil the 85% attendance requirements if offered. Part-time Scholars are expected to register their courses under self study with Controller of Examinations as per the guidelines.</p> <p>iv) Every student would have to undertake course work for a minimum period of one semester of subjects at P.G level being offered under any approved programme of this University as recommended by the Doctoral Committee.</p> <p>v) The course on Research methodology which will include quantitative methods and computer applications will be compulsory for all students, except those who have done this course as part of their M. Phil. programme.</p> <p>vi) No change in the courses prescribed shall be made without the prior approval of the Doctoral Committee.</p> <p>vii) The students are required to register for courses including the literature survey (0:0:4) within 15 days after the commencement of any semester.</p> <p>viii) Every student should acquire a minimum CGPA of 6.0 through prescribed courses.</p> <p>ix) As a part of the course work at the end of the semester every student is required to submit an extensive review report and to give a presentation in a seminar with a credit of 0:0:4 of literature survey of the research problem which should be comprehensive and exhaustive and will be open to all faculty and research students of the school and shall be attended by the DC members.</p>	<p>The Doctoral Committee shall ensure that the candidate shall not repeat a subject which he / she has already undergone in the PG level. However equivalent advanced level courses shall be prescribed.</p> <p>The format for preparing Literature review reports can be downloaded from Academic Research website.</p> <p>The extensive review report and seminar on literature survey will be evaluated by the supervisor and the marks will be given in consultation with the DC members. The supervisor should arrange to collect CoE marks statement from CoE's Office at least one day before evaluating the literature survey. Supervisor and all DC members are required to sign in the CoE mark statement.</p> <p>7 v) The syllabus of the Research Methodology course studied by the candidate during M.Phil / M.S Programme along with the Karunya University syllabus of Research Methodology prescribed by the DC are to be placed in the DC. DC shall study both syllabi carefully and give exemption from doing their course only if there is a matching of minimum 75%</p> <p>7 ix) The Supervisor has to send invitation to all members of the School Research Committee. An attendance showing the signatures of attendees should be forwarded to the Director. The candidate is required to make a presentation for about 30 to 45</p>

<p>7.2 Comprehensive Viva</p> <p>i) Comprehensive viva should be conducted after the completion of course work and literature review but before 18 months from the date of registration.</p> <p>ii) Comprehensive viva shall be conducted by the DC members.</p> <p>iii) Subject code for Comprehensive Viva will be CV3991.</p> <p>iv) The scholar can proceed with his/her research work further, only when his/her performance in the comprehensive viva is found to be 'Satisfactory'.</p> <p>v) If the scholar's performance in the comprehensive viva is found to be 'Unsatisfactory' he / she may be given one more chance for reappearance. Ph.D Registration will be cancelled, if he / she fails in the second attempt.</p>	<p>minutes followed by Q &amp; A. The Supervisor will assign a grade for the Literature Survey in consultation with the DC Members and send it confidentially to the CoE.</p> <p>Comprehensive viva shall comprise of a written test for two hours for 60 marks (descriptive questions) based on the course work &amp; literature survey and oral examination by the DC members will be evaluated for 40 marks. The minimum passing requirement will be 50%.</p> <p>On successful completion, the minutes shall be sent to the Registrar through CoE.</p> <p>Upon successful completion of Comprehensive viva, the Registrar will issue the Registration Communication to confirm the admission of the scholar and send copies to scholar, Supervisor, Joint Supervisor / Coordinator (if any), Director and CoE.</p>
<p><b>8. Monitoring the Progress of Candidates</b></p> <p>i) Discussions with Supervisors:</p> <ul style="list-style-type: none"> <li>◆ Each full-time PhD scholar is required to meet and discuss with his / her Supervisor(s) at least once in a week and report the progress about the research work done. The scholar has to be present in the University every working day and do required works related to his/her Ph.D.</li> <li>◆ Part-time PhD scholars (Internal) are required to meet and discuss with his / her Supervisor(s) at least once in a month and report the progress about the research work done.</li> <li>◆ Part-time PhD scholars (External) are required to meet and discuss with his / her Supervisor(s) at least once in two months and report the progress about the research work done, if they are working far away from the University.</li> <li>◆ Part-time PhD scholars (External) are required to meet and discuss with his / her Supervisor (s) at least once in six months and report the progress about the research work done, if they are working outside India.</li> </ul> <p>ii) Every six months, commencing from the date of initial registration, the candidate shall submit progress reports in the prescribed format [Proforma VIIb] to the Supervisor</p> <p>iii) The Supervisor shall arrange to make a presentation by the candidate of his/her work once in a year before the Doctoral committee. A Doctoral Committee (without external expert) shall review the progress of the candidate at least once in between two Doctoral Committee meetings. The supervisor will send the minutes [Proforma VIIa] of the DC meeting along with progress report to the Director and a copy to the CoE.</p> <p>iv) Irregularities in conducting DC meetings and submission of</p>	<p>Attendance Register has to be maintained by the Departments for Full-Time Ph.D Scholars. Full-Time scholars are required to sign the Register twice a day; once during FN and once during AN.</p> <p>Assembly attendance of the Ph.D scholars has to be monitored by the respective Departments.</p> <p>Red books are to be maintained by the Supervisor and should be presented to Doctoral Committee. Doctoral Committee should give comments on this through the minutes of the DC.</p> <p>Ph.D Scholars are required to maintain Log Books / Project Diary in which they can write down the guidance received from the guide(s), details of experiments done along with data collected and a record of all that are done related to Ph.D work in the chronological order (date wise). DC as well as Research Auditor may ask for the log book / project diary to make sure that the scholar has done all the experiments and the thesis is written based only on his original work.</p> <p>Original minutes of the DC meetings will be recorded in the Red book only. Copies of the minutes from the Red book can be communicated to all concerned.</p>



<p>progress reports may lead to the cancellation of the registration of the candidate</p>	<p>If the minutes of the Doctoral Committee are not received even after the 15 days after the due date Director will take the necessary action.</p> <p>Doctoral Committee should meet only if the student is up-to-date in payment of fees. Receipts of payment of fees are to be attached with progress reports.</p> <p>The CoE shall arrange for auditing the procedures and Red Book once in a year.</p>
<p><b>9. Cancellation of Registration</b></p> <p>The registration of a candidate whose progress is either not satisfactory or who has exceeded the maximum period (including grace period) stipulated for the Ph.D shall be cancelled by Board of Research and Consultancy based on the recommendation of Doctoral Committee.</p>	<p>The Supervisor has to convene the DC with the internal members and the recommendations have to be sent to the BRC for approval.</p> <p>If the Ph.D scholar wishes to discontinue the programme, he/she has to write a letter to the Director. This letter has to be forwarded by the Supervisor, Joint Supervisor / Coordinator (if any). The scholar has to clear the fees dues and then Director shall make arrangements for relieving the scholar which will be placed in the next BRC for ratification.</p> <p>Office orders cancelling the registration are to be sent by the Registrar. No other officer of the University is authorized to issue orders cancelling the registration.</p>
<p><b>10. Synopsis of Thesis</b></p> <p>i) On satisfactory completion of the research work carried out after successful completion of all prescribed courses, seminar on literature survey, and the comprehensive viva, the candidate shall submit to the Doctoral Committee through the Research Supervisor, six copies of the synopsis of the Ph.D. work carried out.</p> <p>The earliest date on which synopsis submission shall be six months before the date of submission of thesis as per norms. However the thesis can be submitted only after the completion of minimum period.</p> <p>ii) The synopsis shall be accepted only when the Research Scholar has fulfilled the following:</p> <ul style="list-style-type: none"> <li>◆ at least two paper either published or accepted for publication in a refereed National / International journal(indexed in Scopus/Thompson Reuters) .</li> <li>◆ at least two papers presented in a National / International Conference conducted by Premier Institutions.</li> </ul> <p>iii) The synopsis viva-voce shall be conducted by the Doctoral Committee in the presence of all faculty members and research scholars of the School. After satisfactory presentation of the research work reported in the synopsis, the Doctoral Committee shall forward six copies of the revised synopsis including the</p>	<p>Synopsis submission has to be recommended by the External DC before the conduction of the Synopsis DC.</p> <p>The Supervisor has to meet the CoE before fixing a date for the Synopsis Viva. University Research Coordinator will go through the file of the scholar and the red book to make sure that DC meetings are held on time, Semester Progress reports sent and there is no fees pending and the records are available as per regulations.</p> <p>The title of the thesis should be approved in the Synopsis DC and should be recorded in the minutes.</p> <p>Draft thesis should be submitted by the scholar during Synopsis and should be made available to the DC members to peruse it.</p> <p>Papers published in paid journals or papers presented in conferences by co-authors or papers written based on the work done before the date of registration or papers written based</p>
<p><i>Research Regulations 2015 for Ph.D.</i></p>	<p>Page 8</p>

<p>feedback from the Synopsis viva to the Controller of Examinations along with panel of examiners. There shall be three different panels of three examiners each. A) From outside India B) From outside Tamilnadu, Pondichery and Kerala C) From within Tamilnadu, Pondichery and Kerala.</p>	<p>on work not connected to Ph.D work shall not be counted. Such papers should not be included in the list of papers published / presented in the Ph.D Thesis.</p> <p>The format for writing the synopsis may be downloaded from Academic Research website.</p> <p>The research scholar shall submit the copy of synopsis at least 15 days before the date of DC meeting to the Supervisor for distribution to the DC members.</p> <p>The Supervisor shall circulate the same to the DC members immediately after the submission of synopsis by the research scholar.</p> <p>Two Experts are nominated by the Director to be present at the synopsis meeting and give a written report to Director marking a copy to the Supervisor and CoE.</p> <p>Other Ph.D. Scholars and the faculty members attached to the School are to be invited for the Synopsis Viva Voce conducted by the DC. The Scholar has to make a presentation for 30 to 45 minutes which will be followed by Q&amp;A. Attendance signed by those who were present in the Synopsis Viva has to be sent along with the Synopsis meeting DC minutes to the Office of the Controller of Examination.</p> <p>Synopsis must be submitted to the CoE office within 15 days after the synopsis viva-voce.</p> <p>The supervisor shall send the panel examiners approved by the D.C. to the CoE through a sealed cover. The signatures of all the DC members are to be obtained while approving the panel of examinations. The details of the panel of examiners should not be included in the DC minutes. (confidential).</p> <p>Synopsis will be accepted after the verification of the checklist by the supervisor, HoD, Director and the CoE.</p> <p>The Vice-chancellor will pool the panel of examiners and rank them to be operated by CoE.</p> <p>The controller will ensure that at least one examiner is from outside Tamilnadu.</p>
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<p><b>11. Submission of Thesis</b></p> <p>i) The thesis has to be run in the plagiarism software available with the Directors and submit the generated report signed by the Supervisor, HoD and the Director along with the thesis.</p> <p>ii) The thesis shall be prepared in an organized and scholarly fashion with an account of the original research work of the candidate leading to the discovery of new facts or techniques or correlation of facts already known (analytical, experimental etc.) and demonstrating a quality as to make a definite contribution to the advancement of knowledge and the candidate's ability to undertake independent research and present the findings in an appropriate manner with actual accomplishments of the work, plainly stated and honestly appraised.</p> <p>iii) The Thesis shall be scrutinized by a Senior faculty of the concern school nominated by the director of the school who will assess the overall layout, contents and the quality of presentation of the Thesis. The deviation, if any, shall be rectified by the scholar in consultation with the Supervisor and the same shall be approved by the Director</p> <p>iv) Six copies of the thesis shall be prepared and submitted to the Controller of Examinations in accordance with the format and specification prescribed. Thesis shall be submitted within six months of the approval of the Synopsis by the Doctoral Committee. Under no circumstances, the thesis submission can be delayed except under extraordinary circumstances, where an extension of two months may be permissible with the recommendations of the Doctoral Committee.</p> <p>v) The thesis shall include a certificate from the concerned Supervisor (and Joint Supervisor, Coordinator, if any) to the effect that the thesis is a record of the bonafide research work carried out by the candidate under his/her/their supervision and guidance and that the work reported in the thesis has not been submitted elsewhere for a degree or diploma.</p> <p>vi) Instructions to candidates for submitting synopsis and thesis shall be laid down by the Controller of Examinations.</p> <p>vii) Fees shall be paid by the candidates on half yearly basis, till the submission of the thesis; the candidate will submit a no dues certificate from all concerned to the Controller of Examination.</p>	<p>The minimum period for submission of thesis is two months after the synopsis submission. However, approval can be granted by the BRC Chairman for special cases.</p> <p>The format for writing the thesis may be downloaded from Academic Research website in <b>Examination webpage</b>.</p> <p>Faculty Scrutinizing the thesis will be asked to check the overall layout, format &amp; style. The nominee will again verify whether the suggestions were incorporated in the thesis.</p> <p>Thesis shall be submitted to the CoE office with the verified checklist of the scrutinizing faculty.</p> <p>Proforma (Proforma IXb, X) for submitting Synopsis and Thesis can be downloaded from the Academic Research website in the Examination webpage.</p>
<p><b>12. Thesis Evaluation</b></p> <p>i) The thesis shall be referred to the first two examiners from the panel as nominated by the Vice-Chancellor from the panel of names recommended by the Doctoral Committee.</p> <p>ii) The Controller of Examinations as deemed necessary to enable the reports of the Examiners to be received as quickly as possible.</p> <p>The examiners are expected to send, in the prescribed form, their reports within two months from the date of receipt of the thesis.</p> <p>iii) The examiner shall include in his / her report an overall assessment, placing the thesis in one of the following categories :</p>	<p>The Controller of Examinations will correspond with the external examiners approved by the Vice-Chancellor for evaluation of the thesis report submitted by the Research Scholar.</p> <p>The format for obtaining the review report</p>
<p><i>Research Regulations 2015 for Ph.D.</i></p>	<p>Page 10</p>

<p>a. Recommended for the award of the degree of Doctor of Philosophy: Highly commended / Commended.</p> <p>b. Revision required</p> <p>(i) Revised thesis be resubmitted</p> <p>(ii) Revised thesis need not be resubmitted.</p> <p>c. Rejected</p> <p>iv) The examiner shall enclose a report in about 300 words, indicating the standard attained in case (a), the nature of revision in case (b) and the reasons in case (c).</p> <p>v) If both the examiners recommended the award of the degree, thesis shall be provisionally accepted. Any minor revision, modification etc., suggested by the examiners shall be carried out before the oral examination is arranged.</p> <p>vi) If both examiners recommended rejection, the thesis shall be rejected and the registration of the research scholar stands cancelled.</p> <p>vii) If one examiner recommends the award of the degree while the other recommends rejection, then the thesis shall be referred to the third examiner as nominated by the Vice-Chancellor from the panel of examiners. If two of three examiners recommended the award, the thesis shall be provisionally accepted. If two of the examiners recommend rejection, the thesis shall be rejected and the registration of the research scholar stands cancelled.</p> <p>viii) If any examiner recommends revision of the thesis the candidate shall be permitted only once to revise and resubmit the thesis within 6 months and the revised thesis shall be referred to the same examiner only in the situation such as (i). when the examiner recommends new experiments and major modification involving new methodology and also (ii). the examiner insist the university to send the thesis back to the same examiner after revision for offering his final recommendation on the thesis which should only be either recommended for the award or rejection. In case, the examiner does not insist on sending the thesis back to him, the thesis shall be referred to the Supervisor to ascertain the corrections carried out in the thesis as suggested by the examiners. The Supervisor shall study the report of the examiners &amp; accordingly send consolidated report to the Controller of Examinations. Controllor of Examinations, based on the approval of Vice-chancellor shall constitute oral examination board, within a period of two months from the receipt of the reports from the supervisor.</p> <p>ix) Individual cases not covered by the above clauses shall be referred to the Vice-Chancellor. On reasons deemed fit, the Vice-Chancellor shall refer to the Board of Research and Consultancy which in turn shall refer to the Board of Management, if necessary.</p>	<p>from the external examiners shall be sent to the external examiners along with the thesis by the Controller of Examinations.</p>
<p><b>13. Oral Examination</b></p> <p>i) The Board of viva-voce Examination shall be :</p>	<p>The Controller of Examinations shall send the reports from all the examiners to the supervisor for consolidation and compliance. He will also correspond with the examiners</p>

<p>a) Chairman – to be nominated by VC</p> <p>b) One of the Indian examiners who valued the thesis to be nominated by VC</p> <p>c) Supervisor</p> <p>d) Joint Supervisor / Coordinator (if any)</p> <p>ii) The viva-voce examination shall be conducted in this University as “Open Defence Type” examination. The decision of the Board of Examiners shall be final.</p>	<p>appointed by the Vice-Chancellor for oral examination and send the consolidated review reports along with a copy of the thesis.</p> <p>Two notifications will be sent by the supervisor regarding the oral examination to all the concerned before conducting oral examination.</p> <p>A copy of the Thesis will be placed in the Library by the scholar immediately after the first notification for the public to go through the Thesis. This copy will remain in the Library until the day of Ph.D. Viva Voce examination.</p> <p>After 15 days of the first notification the second notification will be sent. The second notification must have the date, time and venue of the oral examination along with the Annexure.</p> <p>All the arrangements for conducting the oral examinations in an orderly manner shall be made by the Research Supervisor.</p> <p>The TA/DA for the examiner shall be paid by the Controller of Examinations.</p>
<p><b>14. Award of Ph.D. Degree</b> If the Board of viva-voce Examination recommends, the candidate will be awarded Ph.D. Degree with the approval of the Board of Management.</p>	
<p><b>15. Publication of Thesis</b> 15.1 Papers arising out of the thesis may be published by the candidate.</p> <p>15.2 The thesis as a whole shall not be published by the candidate without the specific approval of the Board of Research and Consultancy of the University.</p> <p>15.3 The abstract of the thesis will be uploaded on to the internet by the CoE.</p> <p>15.4 The same copy of the thesis in CD ROM duly certified by the Research Supervisor shall also be submitted to CoE immediately for depository with UGC and uploading in INFLIBNET</p>	
<p><b>16. The Act of Plagiarism</b> In the case of research scholars who have copied a dissertation / thesis / book for Ph.D. degree his / her thesis shall be forfeited and his / her research registration shall be terminated in this university and also he / she shall be debarred to register for any other programme in this University.</p> <p>For the abatement of above such action, the recognition of his / her supervisor shall be withdrawn for a period of 5 years and he / she shall be debarred from guiding the research scholars for any research programme in this University till such period.</p>	
<p><i>Research Regulations 2015 for Ph.D.</i></p>	<p>Page 12</p>

<b>17. Power to Modify</b> Notwithstanding anything contained in the above said regulations, the Board of Management reserves the right to modify any of the above said regulations from time to time.	